

12/19/01
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US PTO
I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being mailed via "Express Mail Post Office to Addressee" service of the United States Postal Services (Express Mail Label No. EV 013 604 946 US) on the date shown below in an envelope addressed to the Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.

Dated: December 19, 2001

By.

Kim A. Cabello
Kim A. Cabello

10/03/2002
12/19/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

PATENT
APPLICATION

RULE 53(f) NO DECLARATION

Hon. Commissioner of Patents
Washington, DC 20231

Atty. Dkt.

P 012607

M#

272105

Client Ref

Date:

December 19, 2001

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title: DISTRIBUTED COMPUTING SYSTEM ARCHITECTURE

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 44 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 48 Numbered claim(s); and

7. ☒ Drawings: 13 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☐ A4 ☒ 11"

9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/271,353	02/22/01	(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 rd page for additional priorities	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____

12. ☐ This is a reissue of Patent No. _____

13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)

14. ☐ Amend the specification by inserting before the first line -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:

14(a) ☐ National Appln. No. _____ / _____ filed _____ -- (M# _____)
14(b) ☐ International Appln. No. PCT/ _____ filed _____ which
designated the U.S., and that International Application ☐ was ☐ was not published
under PCT Article 21(2) in English.--

15. ☐ Amend the specification by inserting before the first line: --This application

claims the benefit of U.S. Provisional Application No. 60/_____, filed _____, --

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☐ Small Entity Status is claimed (**pre-filing confirmation required**)

17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)

17(b) ☒ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

18. ☐ Prior application is assigned to

by Assignment recorded _____ Reel _____ Frame _____

19. ☐ **Attached:**

20. This application is made by the following named inventor(s) (**Double check instructions for accuracy.:**)
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Neal		Goldstein
	First	Middle Initial	Family Name
Residence	Palo Alto	California	U.S.A.
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(2) Inventor	Adam		Richards
	First	Middle Initial	Family Name
Residence	San Francisco	California	U.S.A.
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(3) Inventor	David		Sherr
	First	Middle Initial	Family Name
Residence	Haddonfield	New Jersey	U.S.A.
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(4) Inventor	David		Levy
	First	Middle Initial	Family Name
Residence	San Francisco	California	U.S.A.
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor	Chalon		Mullins
	First	Middle Initial	Family Name
Residence	Danville	California	U.S.A.
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, check box ☐
and attach sheet with same information regarding additional inventors.

**Pillsbury Winthrop LLP
Intellectual Property Group**

50 Fremont Street
P.O. Box 7880.
San Francisco, CA 94105
Tel: (619) 234-5000

By: Atty: Jonathan E. Jobe, Jr.

Reg. No. 28,429

Sig: 

Fax: (619) 236-1995

Tel: (619) 544-3102

Atty/Sec: JEJ/KAC

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of: Goldstein et al.

Inventor(s): Neal Goldstein; Adam Richards,
David Sherr, David Levy and
Chalon Mullins

Atty Dkt: 012607 / 272105
C# M#

Filed: Herewith

NOTE: Request will **NOT** be honored by PTO
if filed later than the application.

Title: DISTRIBUTED COMPUTING
SYSTEM ARCHITECTURE

MUST be filed with the application initially.

NONPUBLICATION REQUEST UNDER RULE 213(a)

The attached new application is **NOT TO BE PUBLISHED** under 35 U.S.C. §122(b).

I hereby certify that the invention disclosed in the attached new application has not been
and will not be the subject of an application filed in another country, or under a multilateral
international agreement, that requires publication at eighteen months after filing.

This request is signed in compliance with the provisions of Rule 33(b) by the signature(s)
in one of the three columns below.

Respectfully Submitted,

Pillsbury Winthrop LLP

Inventor(s)	Assignee(s) of Record Per Enclosed Assignment	
Sig: _____	Assignee: _____	By Atty.: Jonathan E. Jobe, Jr.
Name: _____		Reg. No.: 28,429
Date: _____		
Sig: _____	By _____	Sig: _____
Name: _____	Name: _____	Date: December 19, 2001
Date: _____	Title: _____	Tel.: (619) 544-3102
	Date: _____	Fax: (619) 236-1995
Sig: _____	Assignee: _____	
Name: _____		
Date: _____		

By _____
Name: _____
Title: _____
Date: _____

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. §1.10

I hereby certify that his correspondence (along with any paper referred to as being attached or enclosed) is being mailed via "Express Mail Post Office to Addressee" service of the United States Postal Services (Express Mail Label No. EV 013 604 946) on the date shown below in an envelope addressed to the Commissioner of Patents & Trademarks, U.S. Patent and Trademark Office, Washington, D.C., 20231.

Dated: 12-19-01

By: KA Cusello